![InFRE - International Foundation for Retirement Education]

CERTIFICATE HOLDER'S STATEMENT

The statements below must be initialed by the Certificate Holder. In answering these questions, the Certificate Holder may exclude (and therefore initial "no") to any juvenile proceedings, or traffic or similar nuisance offenses.

Previous to signing this InFRE Statement (or since the last Certificate Holder's Statement):

	Yes	**No**
❑ I have been a defendant in a criminal proceeding that did not result in a conviction.	____	____
❑ I have been convicted of a crime.	____	____
❑ I have been a defendant in a regulatory or licensing proceeding brought by a state or federal agency, or civil/professional organization (FINRA, bar association, or similar professional organization) and the decision rendered was adverse to my interests.	____	____
❑ I have been the subject of an inquiry or investigation with respect to my professional conduct by a state or federal agency, or civil/professional organization (FINRA, bar association, or similar professional organization.)	____	____

For any "YES" answer, please explain the facts that determined the outcome of the referenced action at the bottom of this form. Attach an additional sheet of paper, if necessary.

I understand that continued compliance with the *CRC®* Code of Ethics is mandatory. I further understand that I must meet all other requirements of a Certificate Holder including, but not limited to, all continuing education requirements and fees. I understand that if I fail to comply with any of the foregoing conditions, the designation and all associated rights will be removed.

I have read the Certificate Holder's Statement and understand the items set forth in it, as well as the items in preceding sections of this form. I further declare that my statements (including any required attachments) are true and complete to the best of my knowledge and freely given.

_____   _____   _____
Name (Print or Type)   Signature   Date

_____
Address

If you have answered "YES" to any of the above questions, please explain in the space provided below and include any appropriate back-up documentation (use reverse side, if necessary.)

_____
_____
_____
_____